

Guiding Asset Managers and Investment Advisers to compliance



The challenge faced by Asset Managers and Investment Advisers

Asset managers and investment advisers are vulnerable to financial crimes including money laundering, terrorism financing, fraud, bribery, cyber and other risks.

As a result of heavy regulation and close scrutiny, asset managers and investment advisers are subject to various detailed, complex and constantly changing regulations which represents a significant challenge in managing these risks to prevent abuse of the financial system by organised crime networks.

Practical challenges



Developing and maintaining robust risk management practices



Implementing and operationalising sound compliance programs



Tracking and managing issues, actions and operational incidents



Demonstrating effective oversight to boards and regulators

Our solutions are designed for

◆ Asset and wealth managers

◆ Financial planners and advisers

◆ Stockbrokers

◆ Corporate financiers

◆ Private equity and venture capital firms

◆ Hedge funds operators

What solutions do we offer?

Arctic Intelligence enables audit, risk and compliance 'as-a-service' through technology.

AML ACCELERATE

AML Accelerate is a money laundering and terrorism financing risk assessment solution, tailored to over 30 financial and non-financial industry sectors.

RISK ASSESSMENT

The Risk Assessment solution helps businesses identify, assess, mitigate and manage risks from money laundering, bribery, fraud, cyber and other risk domains.

HEALTHCHECK

The Health Check solution helps regulated businesses assess the design and operational effectiveness of financial crime compliance programs.

^ These solutions can be deployed on-premise or to a private cloud.

